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Application Number 09/673,707

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/673,707		١
Filing Date	January 11, 2001	RECEIV	
First Named Inventor	Pastan, Ira H.	VECEIV	EL
Art Unit	1645	MAR 1 7 20	กร
Examiner Name	Zeman, Robert A		0,5
Attorney Docket Number	015280-35610005	CH CENTER 160	b/290

ENCLOSURES (Check all that apply)					
Fee Transmittal Form	-	☐ Drawing(s)		After Allowance Communication to Group	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
☐ After Final		Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/declaration	n(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
Extension of Time Reque	est	Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Express Abandonment Request		☐ Request for Ref		Return Postcard	
☐ Information Disclosure Statement					
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		
Response to Missing Pa Incomplete Application	rts/		I		
Response to Missing under 37 CFR 1.52 c					
	SIGNA	TURE OF APPLIC	ANT, ATTORNEY, O	R AGENT	
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Date 3/7/03					
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:					
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Signature	1	4./	1	Date- / /	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



A	ication	No.:	09/67	3.707
				<del>-,</del>

NOTICE TO COMPLY WITHER FOR INFO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

X	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
X	7. Other: the claims recite compounds whose identifiers incorporate sequences (i.e. PE38KDEL etc). These identifiers are not in compliance with the sequence requirements.
Ap	plicant Must Provide:
	An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
	An initial or <u>substitute</u> paper copy of the "Sequence Listing", as well as an amendment directing its entrinto the specification.
	A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).
For	questions regarding compliance to these requirements, please contact:
For Pat	Rules Interpretation, call (703) 308-4216 CRF Submission Help, call (703) 308-4212 entIn Software Program Support Technical Assistance
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 015280-356100US 3/18/0

Client Ref. No.: E-201-98/2

Assistant Commissioner for Patents

Washington, D.C. 20231

on More # 7, 2003

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TECH CENTER 1600/2900

TOWNSEND and TOWNSEND and CREW LLP

By: James J

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PASTAN et al.

Application No.: 09/673,707

Filed: January 11, 2001

For: RECOMBINANT IMMUNOTOXIN DIRECTED AGAINST THE HIV-1 GP120 ENVELOPE GLYCOPROTEIN

Examiner: Zeman, Robert A.

Art Unit:

1645

**COMMUNICATION UNDER** 

37 C.F.R. §§ 1.821-1.825

**AND** 

**AMENDMENT** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants respond herein to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821-1.825, that accompanied the Examiner's Communication mailed February 7, 2003, Applicants submit herewith the amendments to the Specification and Claims requested by the Notice. Please amend the specification as follows:

Amendments to the Specification begin on page 3 of this paper.

Amendments to the Claims begin on page 4 of this paper.

Remarks begin on page 13 of this paper.